Re: Attorney Docket No. L-122600

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner:		ent Owner:Ag	gere Systems Inc.			
Appli	cation No	o./Patent No.: <u>09/781,820</u>	Filed/Issued Date: <u>02/12/2001</u>			
Entitle	ed: <u>Metho</u>	ods of Fabricating a Membr	ane with Improved Mechanical Integrity			
A	gere Sys	tems Inc.	Corporation			
	(Nam	ne of Assignee)	(Type of Assignee: corporation, partnership, university, government agency, etc.)			
State	s that it is	S:				
1.	[X]	the assignee of the entire	gnee of the entire right, title, and interest; or			
2.	[ ]	an assignee of less than the entire right, title, and interest. (The extent (by, percentage) of its ownership interest is%)				
In the	e patent a	pplication/patent identified	above by virtue of either:			
A.	[ ]	An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or a true copy of the original assignment is attached				
OR						
В.	[X]	A chain of title from the i follows:	inventor(s), of the patent application/patent identified above, to the current assignee as			
	1.		To: <u>Lucent Technologies Inc.</u> rded in the United States Patent and Trademark Office at 69, or for which a copy thereof is attached.			
	2.	The document was reco	ries Inc.  To: <u>Agere Systems Guardian Corp.</u> rded in the United States Patent and Trademark Office at r for which a copy thereof is attached.			
	3.	The document was reco	tuardian Corp.  To: Agere Systems Inc. rded in the United States Patent and Trademark Office at r for which a copy thereof is attached.			
	[ ]	Additional documents in	the chain of title are listed on a supplemental sheet.			
	gnee was [NOTI	s, or concurrently is being E: A separate copy (i.e., a	e documentary evidence of the chain of title from the original owner to the g, submitted for recordation pursuant to 37 CFR 3.11.  true copy of the original document(s) must be submitted to Assignment Division in if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08			
The ι	undersign	ed (whose title is supplied I	below) is authorized to act on behalf of the assignee.			
			Respectfully submitted,			
Custo Meno 1500	John F. I		/Kevin M. Drucker/ Kevin M. Drucker Registration No. 47,537 Attorney for Assignee (215) 557-6659			

Transferred Patents (patent disclosures)							
	IDS No.	Inventors	Subject Matter				
	Barber 20- 17-1-2-58	Bradley P Barber, BL0111130; Peter L Gammel, BL0111130; Juan A Herbsommer, BL0111130; Hugo F Safar, BL0111130; Yiu-Huen Wong, BL0111770	System And Method for Determining And/Or Improving High Power Reliability In Thin Film Resonator Devices				
>	Barber 21- 11-4-4	Barber, Bradley Paul ,BL0111130,Fetter, Linus Albert ,BL0111130,Huggins, Harold Alexis ,BL01131F0,Miller, Ronald Eugene ,BL0111130.	Febrication Of TFR Membranes With Improved Mechanical Integrity				
	Berrese 1-1- 1-2	Michael A Barrese,5388CR300;Brad L Grande,5388CR300;Vernon G Koll,5388CR300;Eugene J Yurek,BL0314600	Line Powered Moden				
	Batruni 1	Batruni, Roy George ,	Next Cancular				
	Ratruni 2	Batruni, Roy George	Baud-Rate Timing Recovery				
	Baumhauer 15-11-8-43	Baumhauer, John Charles ,8L0314200,Marcus, Larry Allen ,8L0314200,Varvaro, Charles ,JW45D7000,West, James Edward ,8L0113320,	Noise Canceling Microphone For Cellular Phones				
		Jacob Benesty,BL0113320;Tomas F Gaenaler,BL0113320	A Robust Signal Regressor PNLMSAlgorithm For Network Echo Cancellation				
	Benesty 11-9	Jacob Benesty,BL0113320;Steven L Gay,BL0113320	An improved PNLMS Algorithm For Echo Cancellation				
	Benson 1	Benson, Richard Clive,	Memory Addressing				
	Berenbeum 11	Berenbaum, Alan David ,BL0112710,	Automatic Quality of Service Assignment In Ethernet Switches				
	<i>-</i> ,,	Charles W Berthoud,538812000;Mohd M Hobbl,538814000;Lakshmi N Jampanaboyana,538814000	Control Help				
	Berthoud 20- 6	Charles W Berthoud,538812000;Mohd M Hobbi,538814000	Telephony Access Using An Email Address				
	74 <b>-44-9-</b> /-15	Berthoud, Charles William ,538812000, Davis, Paul Joseph ,538870000, Grundvig, Jeffrey Paul ,538813000, Gupta, Arupratan ,538810000, Hobbi, Mohd Majed ,538814000, Jampanaboyana, Lakshmi Narayana ,538814000,	Cordless Phone With Database Transfer Capability				
		Bessics, Anthony ,538498A00,	Purity Check Code For Lower Complexity Decoding And Reduced Miscorrection Error Rate When Postprocessing Viterbi Algorithm Error Events				
	SEVERE Tale	Davier Milliam Bankai	A4				

Bevers, William Daniel ,55K12K000,Bradshaw, Robert Wayne ,55K12K000,Hudson, Chris Peter ,55K12K000,Jones, Robert Francis ,55K12K000,Lobbins, Jonathon Marion ,55K12K000,Olmer, Leonard Jay

,55K12K000, Bhoja, Sudeep ,538498000,

Bhoja 2

TCM Decoding Using Low Dalay Tree Search & Local Decision Feedback

New Process Method To Prevent Formation Of Yield Killing BPO4 Dielectric Crystallines

## PATENT ASSIGNMENT

by and between

LUCENT TECHNOLOGIES INC.

and

AGERE SYSTEMS GUARDIAN CORP.

Dated as of January 30, 2001

## PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT (this "Assignment"), effective as of January 30, 2001 (the "Effective Date"), is by and between Lucent Technologies Inc., a Delaware corporation, with offices at 600 Mountain Avenue, Murray Hill, New Jersey 07974, United States of America, ("ASSIGNOR") and Agere Systems Guardian Corp., a Delaware corporation, with offices at 555 Union Boulevard, Allentown, PA 18109, United States of America ("Agere Systems Guardian").

#### RECITALS

- A. WHEREAS, the Board of Directors of ASSIGNOR has determined that it is in the best interests of ASSIGNOR and its stockholders to separate ASSIGNOR's existing businesses into two independent businesses;
- B. WHEREAS, ASSIGNOR presently owns or controls certain patents, patent applications, and invention submissions listed in the attached Appendices A and B (hereinafter "TRANSFERRED PATENTS") and;
- C. WHEREAS, in furtherance of the foregoing separation, ASSIGNOR desires to transfer, assign, convey, deliver and vest all of its interests and rights in TRANSFERRED PATENTS for all countries, jurisdictions and political entities of the world, to and in Agere Systems Guardian;

NOW, THEREFORE, in consideration of the premises and for other good and valid consideration, the receipt and sufficiency of which are hereby acknowledged, the parties, intending to be legally bound, agree as follows:

ASSIGNOR, subject to existing rights and licenses of third parties, does hereby assign, convey, transfer and deliver, and agrees to assign, convey, transfer and deliver to Agere Systems Guardian, its successors, assigns and legal representatives or nominees, ASSIGNOR's entire right, title and interest, for all countries, jurisdictions and political entities of the world, along with the right to sue for past infringement, to all TRANSFERRED PATENTS listed on Appendices A and B, and corresponding counterpart foreign patents and patent applications, with respect to which, and to the extent to which, ASSIGNOR now has or hereafter acquires the right to so assign, convey, transfer and deliver. Agere Systems Guardian recognizes that ASSIGNOR holds only bare legal title to the TRANSFERRED PATENTS listed in Appendix A (which lists the United States Patents and patent applications previously exclusively licensed to Lucent Technologies Microelectronics Guardian Corp.).

ASSIGNOR and ASSIGNEE recognize that the patents listed in Appendices A and B may inadvertently include patents that are owned by various subsidiaries of ASSIGNOR, including Agere, Inc., Ortel Corporation, Optimay Corporation, Herrmann Technology, Inc., and Enable Semiconductor, Inc. Ownership of such patents shall not be affected by this Patent

Assignment, and ASSIGNEE agrees that any such patents shall be deemed deleted from Appendices A and B.

ASSIGNOR agrees that, upon request it will, at any time without charge to Agere Systems Guardian, but at Agere Systems Guardian's expense, furnish all necessary documentation relating to or supporting chain of title, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for vesting title to TRANSFERRED PATENTS in Agere Systems Guardian, its successors, assigns and legal representatives or nominees; including but not limited to any acts which may be necessary, desirable or convenient for claiming said rights and for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in Agere Systems Guardian and its respective successors, assigns and legal representatives or nominees.

IN WITNESS WHEREOF, the parties have caused this PATENT ASSIGNMENT to be executed by their duly authorized representatives as of the Effective Date.

LUCENT TECHNOLOGIES INC.

3y:\_\_\_\_\_

Daniel P. McCurdy

President, Intellectual Property Business

AGERE SYSTEMS GUARDIAN CORP.

y: 724

Fred M. Romano

President

## CKNOWLEDGMENTS

STATE OF NEW JERSEY)					
•	٠.		SS		
COUNTY OF SON	MERS:	ET)			

I CERTIFY that on January 30, 2001, Daniel P. McCurdy personally came before me and this person acknowledged under oath, to my satisfaction that: a) this person signed, sealed and delivered the attached Patent Assignment as President -

Intellectual Property Business of Lucent Technologies Inc.; and b.) this Patent Assignment was signed and made by Lucent Technologies Inc. as its

voluntary act and deed by virtue of authority from its Board of Directors.

Notary Public

[Notarial Seal]

TAMORA ANNE HANNA Notary Public of New Jersey

My Commission Expiremistered in Hunterdon County My Commission Expires March 25, 2002

STATE OF FLORIDA)

COUNTY OF ORANGE)

a.) this person signed, sealed and delivered the attached Patent Assignment as

Vice President of Agere Systems Guardian Corp.; and

b.) this Patent Assignment was signed and made by Agere Systems Guardian Corp. as its voluntary act and deed by virtue of authority from its Board of Directors.

Name of North Notary Public

My Commission Expires:

[Notarial Seal]



## The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF OWNERSHIP, WHICH MERGES:

"AGERE SYSTEMS GUARDIAN CORP.", A DELAWARE CORPORATION, WITH AND INTO "AGERE SYSTEMS INC." UNDER THE NAME OF "AGERE SYSTEMS INC.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TWENTY-NINTH DAY OF AUGUST, A.D. 2002, AT 9 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF OWNERSHIP IS THE THIRTY-FIRST DAY OF AUGUST, A.D. 2002.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Varriet Smith Hindson
Harriet Smith Windsor, Secretary of State

3268412 8100M

020545223

AUTHENTICATION: 1959517

DATE: 08-29-02

## CERTIFICATE OF OWNERSHIP AND MERGER

**OF** 

Agere Systems Guardian Corp. (a Delaware corporation)

INTO

Agere Systems Inc. (a Delaware corporation)

# UNDER SECTION 253 OF THE GENERAL CORPORATION LAW OF THE STATE OF DELAWARE

Agere Systems Inc., a corporation organized and existing under the laws of Delaware ("Corporation"), DOES HEREBY CERTIFY:

FIRST: The Corporation is the owner of all of the outstanding shares of common stock of Agere Systems Guardian Corp., which is also a business corporation of the State of Delaware.

**SECOND:** On August 22, 2002 the Subsidiary Governance Committee of the Board of Directors of the Corporation adopted the following resolution to merge Agere Systems Guardian Corp. into the Corporation:

RESOLVED that Agere Systems Guardian Corp., a Delaware corporation, shall be merged with and into Agere Systems Inc., a Delaware corporation, with Agere Systems Inc. being the surviving corporation, and Agere Systems Inc. shall thereupon assume all of the obligations of Agere Systems Guardian Corp."

THIRD: That the merger authorized hereby shall become effective as of 9:00 a.m. Eastern Standard Time on August 31, 2002.

Executed on August 22, 2002

AGERE SYSTEMS INC.

Paul Bento, Vice President